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1 or five decades which are used in the evaluation of
2 conventional chest radiographs for patients who may have been
3 exposed to various dust in the workplace.

4 Q Are the ILO guidelines recognized internationally as a
5 standard to be used when classifying chest x-rays?

6 A Yes, sir.

7 Q What is the purpose of the classification system?

8 A The purpose is to standardize the process of evaluation so
9 that it can be reproducible and reliable in terms of the
10 evaluation of various patients and in terms of the
11 communication from body, or one physician, to another.

12 MR. McMILLAN: If I could have the next slide,
13 please?

14 Q This is GG-2072. Could you explain to us briefly how the
15 ILO classification system works, please?

16 A Well, first of all, one must familiarize oneself with the
17 ILO guidelines, namely the process, as well as the group of
18 standard radiographs which come with the guidelines which
19 depict the pathology that is commonly seen with the various
20 inhalations of dust, and then once one does that one must take
21 the claimant or subject radiograph and compare it to the
22 standard and determine whether there is evidence of abnormality
23 or not and then, if so, abnormality being present, then to
24 quantify it through the process of the ILO system, including
25 the image quality, which is very important, the presence of

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1 tissue abnormalities or parenchymal abnormalities, pleural
2 involvement and other possible abnormalities that may be
3 related to the pneumoconiotic process.

4 Q Now, when you say that you grade the abnormalities, could
5 you explain to us, what do you mean by grading abnormalities?

6 A Well, basically, the ILO process is a way of standardizing
7 the quantification of the abnormalities.

8 MR. McMILLAN: If we could turn to the next slide?

9 Q This is GG-2073. Can you tell us what this is, Dr. Henry?

10 A This is basically an evaluation form, or a pneumoconiosis
11 surveillance form that's piloted (sic) on the ILO form.

12 Q Is the one that we have in GG-2073 the actual ILO form
13 that you used as part of your study?

14 A Yes, sir.

15 MR. McMILLAN: I want to quickly go through the
16 components of this, so if you could show the next slide.

17 Q In GG-2074, we've blown up Section 1A of the ILO form.

18 What does this record, doctor?

19 A This is the evaluation of the image quality, and you'll
20 see that on the far left-hand side, there are four categories,
21 one through three, and then U/R, which means it's an unreadable
22 study.

23 Q Why is it important to grade the film quality?

24 A Because the quality of the study will have a bearing on
25 the accuracy of the interpretation.

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1 Q And why is that?

2 A Because the presence of artifacts, under or overexposure,
3 could conceal or enhance the detection of abnormalities.

4 MR. McMILLAN: Could I have the next slide, please?

5 Q This is GG-2075. We've now highlighted Section 2A and B
6 which relate to parenchymal abnormalities. What are
7 parenchymal abnormalities?

8 A These are basically abnormalities of the lung tissue
9 itself which are presented as small opacities, which is
10 referred to in 2B.

11 Q And how would you record that on the ILO form?

12 A Well, as you can see, the -- 2B is divided into several
13 sections, and your first responsibility is to determine whether
14 the small opacities present are either rounded, which are
15 commonly associated with silica and coal workers
16 pneumoconiosis, or irregular or linear, more frequently
17 associated with asbestos exposure.

18 Q What is an opacity?

19 A An opacity is a small density which occurs on the x-ray
20 which is indicative of underlying pathology related to the
21 inhalation of dust.

22 Q I see that under 2B there's a small C that says,
23 "profusion." What is profusion?

24 A Well, as you can see -- actually, we should start with the
25 prior diagram which is zones that each lung is divided into,

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1 three zones, an upper, middle and lower zone on each side, left
2 and right, and then the person who classifies the study is
3 asked to then determine what the concentration or profusion of
4 the small opacities are within each individual zone and come up
5 with a summary of the entire profusion for the entire chest.

6 Q I see that there are 12 boxes under profusion. Can you
7 explain what you're doing when you're trying to select the
8 appropriate box?

9 A Well, this is a scale. You see in the upper left-hand
10 corner there is zero/naught, which means the study is
11 absolutely cold, normal. There are no opacities. And as you
12 go from left to right and then down the various columns, you'll
13 see that the numbers increase, and with the increasing numbers
14 that implies that you have a larger concentration of
15 abnormality of opacities.

16 MR. McMILLAN: If we could turn to the next slide,
17 GG-2076?

18 Q We've highlighted Sections 3A and B from the ILO form. I
19 notice this refers to pleural abnormalities. What are pleural
20 abnormalities?

21 A Pleural abnormalities are basically the manifestations of
22 exposure which might impact the parietal pleura, or the lining
23 of the thoracic cavity itself, or the visceral pleura or the
24 lining that's around the lung.

25 Q And just to be clear, Section 3 relates to the pleura,

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1 where Section 2 relates to the parenchyma. Just is broad-brush
2 terms, what's the difference?

3 A Well, the difference is, is that Section 2 relates to the
4 lung tissue itself, and the -- Section 3 relates to the lining
5 of the thoracic cavity on -- in either hemithorax, left and
6 right, as well as the lining around the lung itself. So, it's
7 distinctly different from the more internal parts of the lung
8 or the lung tissue itself.

9 Q Now, I see in Section 3B that there's a space to record
10 information about pleural plaques. What are pleural plaques?

11 A Pleural plaques are basically areas of focal fibrosis with
12 -- usually within parietal pleura.

13 Q And I see that you have, "In profile, face-on and
14 diaphragm." What's the difference?

15 A The difference is that in profile, there's a plaque that
16 appears along the lateral edges of the chest, which is visible,
17 there in profile, as opposed to a plaque which exists on the
18 front or the back of the chest, which on a frontal chest x-ray
19 would be seen face-on or "on fas" (phonetic).

20 MR. McMILLAN: Can we move to the next slide, please,
21 GG-2077?

22 Q We've now highlighted Sections 3C and 3D of the ILO form.
23 Could you explain what gets recorded here, please?

24 A This again is a continuation of the evaluation of the
25 pleura, and it relates to the area of the lung called the

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1 costophrenic angle, which is where the diaphragm, which
2 separates the chest cavity from the abdominal cavity, occurs,
3 and in the upright individual on a chest x-ray, shall we say,
4 it's the angle where the diaphragm meets the chest wall.

5 Q Okay. And what does it mean if that angle is blunted?

6 A If that angle is blunted, then one would certainly have to
7 consider the presence of diffuse pleural thickening, the theory
8 being that diffuse pleural thickening generally arises
9 following a pleural effusion, which would occupy that angle,
10 and therefore if there was a residual of pleurator (phonetic)
11 thickening that angle would be blunted and therefore would be a
12 sign that there could be the presence of diffuse pleural
13 thickening.

14 Q What is diffuse pleural thickening?

15 A It again is a fibrotic process that occurs in the visceral
16 pleural, which is the lining of the lung itself, as opposed to
17 a plaque, which involves the parietal pleura, or the lining of
18 the chest wall.

19 Q And what does it mean when the pleura is thickened?

20 A It's an indicator of a fibrotic process in a particular
21 lining of the lung and that you want to be able, hopefully, to
22 distinguish that from a pleural plaque basically.

23 Q And is blunting of the costophrenic angle one of the
24 features that you look at to try and differentiate between the
25 two?

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1 A By convention that the fact that pleural plaques rarely
2 ever involve the costophrenic angles, whereas diffuse pleural
3 thickening does, that's how we distinguish one from the other.

4 MR. McMILLAN: Could I have the next slide, GG-2078,
5 please?

6 Q You'll see here we've highlighted Sections 4A and 4B from
7 the ILO form. Could you explain what gets recorded here,
8 please?

9 A This is basically a shorthand that the reader would use to
10 connote the presence of other abnormalities. If you note, on
11 the far right-hand line, on the line in the far right, there's
12 the abbreviation, "TB," which means if you thought there was
13 tuberculosis present, you would check that particular box.
14 Somewhere in the center there, there is the abbreviation, "EM,"
15 which stands for emphysema. On the far left is another
16 abbreviation, "AA," which stands for atherosclerotic aorta,
17 which is another radiographic finding. And in between are
18 several other abbreviations which connote the presence of
19 various findings which are sometimes associated with an
20 inhalational dust disorder. Others are not, such as the
21 abbreviation of "CO," which simply means that the heart is
22 enlarged, which may be totally unrelated and probably is
23 unrelated to dust inhalation.

24 Q Doctor --

25 A So it's a shorthand to prevent a lot of handwriting

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1 basically.

2 Q Dr. Henry, the form that we've been looking at here, this
3 is the actual form that the independent B-readers you retain
4 used when evaluating x-rays in this case?

5 A Yes, sir.

6 Q And is this based on the ILO form that NIOSH uses when
7 they are certifying B-readers?

8 A Yes, sir.

9 MR. McMILLAN: I'd like to move on to the next slide,
10 please, which is GG-2079.

11 Q Dr. Henry, what is the purpose of the ILO classification
12 system that we have just been reviewing?

13 A Basically it's to provide a standardized process of
14 evaluation and quantification of the abnormalities present on a
15 chest radiograph and a person who may have been exposed to
16 inhalational dust.

17 Q Has the ILO Classification System been adopted in the
18 U.S.?

19 A Yes, sir.

20 MR. McMILLAN: Could I have the next slide, please?

21 Q How has it been adopted in the United States? This is GG-
22 2080.

23 A Following a mining tragedy in the late '60s, the federal
24 government mandated the U.S. Public Health Service develop a
25 system to evaluate chest radiographs of miners at that time who

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1 might be exposed and -- in terms of a preventive measure and
2 for compensation, and so they realized that there were not --
3 there was not a group of readers out there, or people who were
4 familiar with this process. So they commissioned the Public
5 Health Service to develop a process of teaching these
6 physicians the various methods of evaluating these studies.

7 Q And did the Public Health Service -- how did they go about
8 teaching a group of physicians how to use the classification
9 system?

10 A The Public Health Service at that time turned to the
11 American College of Radiology and asked them to become
12 instructors and to develop a course of instruction to better
13 promote the understanding of how the system would be utilized.

14 Q And is that course that you currently today are a faculty
15 member for?

16 A It's not quite the same course, but basically, yes.

17 Q How many different faculty members are there today who
18 teach the asbestos-related portion of the ACR course for the
19 B-reader exam?

20 A Those duties are split by myself and one other person.

21 Q Did there come a point in time when the Public Health
22 Service turned over this classification program to NIOSH?

23 A Whenever NIOSH became an entity, and I'm not -- I think
24 was in the early '70s, they handed off the ball to NIOSH,
25 Public Health handed it off to NIOSH and NIOSH then is

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1 responsible and has been for the last several years.

2 MR. McMILLAN: If we can go to the next slide,
3 please, GG-2081?

4 Q We've talked a bit about B-readers, but could you just
5 explain what is the B-reader program?

6 A The B-reader program is a program that the NIOSH -- that
7 NIOSH orchestrates and governs, and it relates to individuals,
8 physicians, licensed physicians, who wish to be certified for
9 the interpretation of pneumoconiosis studies using the ILO
10 system.

11 Q And that is the purpose of the B-reader system?

12 A Well, basically it's to hopefully guarantee a more
13 reliable and accurate interpretation of these studies.

14 Q Is there an examination that is part of becoming a
15 B-reader?

16 A Yes, sir.

17 Q Can you tell me a little about that?

18 A Anyone wishing -- or I should say a licensed physician
19 wishing to become a B-reader must take a six-hour examination,
20 which is comprised of classification of 125 studies.

21 Q Is there a re-certification requirement?

22 A Re-certification occurs every four years with a smaller
23 group of films. Basically I think it's a three-hour test, and
24 you interpret or classify 50 studies.

25 Q Is there a difference in the type of x-rays or studies

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1 you're evaluating on re-certification from the initial exam?

2 A . Yes.

3 Q What is the difference?

4 A The initial study -- the certification study involves a
5 larger scale of more common abnormalities, and the
6 re-certification exam is a little more focused on the subtle
7 abnormalities that are sometimes -- sometimes encountered.

8 Q How long have you been a B-reader?

9 A Since 1985.

10 Q How many times have you had to take the re-certification
11 exam?

12 A Five times I believe.

13 MR. McMILLAN: If we could go to the next slide,
14 please, which is GG-2082?

15 Q Does NIOSH have a recommendation on whether or not the ILO
16 Classification System applies in contested matters?

17 A Yes. They have the Website, which you see presented here
18 which presents recommendations for a variety of settings where
19 the ILO system may be employed, contested proceedings being one
20 of them.

21 Q So, in the first bullet we have here on the ILO system,
22 NIOSH recommends or states that it's necessary, to ensure
23 fairness and equity in contested proceedings, to use the ILO
24 Classification System. Is that right?

25 A That's correct.

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1 Q What does NIOSH recommend in terms of the number of
2 readers that should be used when doing classifications in a
3 contested matter?

4 A They require -- or they suggest a minimum of two, and most
5 likely three, to determine if there is a lack of agreement.

6 Q Does NIOSH have a recommendation about whether readers
7 should be blinded when reading in a contested matter?

8 A Yes, sir.

9 Q What's that recommendation?

10 A Well, it is that they should be blinded to the exposure
11 history and the identity of the individual and any other
12 information which might bias their interpretation.

13 Q Doctor, is it important when you are attempting to conduct
14 a reliable study to follow the ILO and NIOSH recommendations?

15 A I believe so, yes.

16 MR. McMILLAN: Could I have the next slide, please,
17 GG-2083?

18 Q Why is it necessary?

19 A Well, if you want to have an accurate and reliable
20 outcome, it's important to incorporate the standardized process
21 that's been accepted in the literature, including the ILO
22 guidelines and the suggestions and recommendations of NIOSH.

23 Q Well, let's go through this. Why is it important to blind
24 the readers when you're attempting to do a reliable study?

25 A In order to prevent any bias that might creep in.

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1 Q Why is it necessary to use standard films when attempting
2 to do a reliable classification study?

3 A Well, standard films are a critical part of the ILO
4 system, and it allows one to compare the claimant, or subject
5 radiograph, to a standard radiograph in order to develop a more
6 confident evaluation and classification of that particular
7 study.

8 Q Why is it important to use three independent readers when
9 attempting to do a reliable classification study?

10 A Again, from experience, I believe NIOSH has recognized,
11 and you find this repeated in the literature and many papers,
12 that the multiple readers I think provides a better sense of
13 reliability and accuracy than just a single reader.

14 Q And finally, why is film quality important when attempting
15 to do a reliable classification study?

16 A As I discussed earlier when we talked about film quality
17 on the B-reader form, it can alter the impression and the
18 interpretation of small opacities which may be very subtle and
19 could be obscured or could be enhanced by the various
20 techniques employed in conventional radiography. So good film
21 quality is essential.

22 Q Doctor, I want to switch topics briefly. When conducting
23 reviews under the ILO system, what is a positive result for
24 asbestosis?

25 MR. McMILLAN: Could I have the next slide, please?

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1 A Well, currently it's the determination that the small
2 opacities present reach a threshold greater than or equal to
3 1/0.

4 Q Now, was that recommendation of the American Thoracic
5 Society in 2004?

6 A Yes, sir.

7 Q Prior to that date had the American Thoracic Society taken
8 a position on what level of profusion was necessary to diagnose
9 asbestosis?

10 A Yes, sir. In 1986, the guidelines, again from the ATS,
11 stipulated a threshold of 1/1.

12 Q And when they changed it 2004, did they provide the
13 scientific evidence or rationale for that change?

14 A In my opinion, no.

15 Q Why is that?

16 A Well, if you look at the fine print when they make this
17 recommendation, they say that there is no clear-cut distinction
18 between 0/1, which would be a negative study, and 1/0, which in
19 their eyes would be a positive study. Secondly, there is no
20 1/0 standard. This is a total arbitrary decision that the
21 reader makes on his or her own. And third, it's a
22 determination that can be very much affected by film quality
23 and by the possibility of opacities from other sources
24 unrelated to dust exposure entering into the evaluation and
25 producing findings that would simulate the earliest findings of

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1 an asbestos or another pneumoconiotic process.

2 Q Now, you said a moment ago there's no 1/0 standard film.

3 Is there a 1/1 standard film?

4 A Yes, sir, there is.

5 Q Does that factor into why you believe it's more reliable
6 to use a 1/1 for diagnosing asbestosis?

7 A Well, I'm falling back on the '86 guidelines, which I
8 think are valid, and also the presence of the 1/1 standard,
9 which then takes away the arbitrary determination of the
10 reader.

11 Q You also said a moment ago that there are other conditions
12 that could mimic a 1/0. What were you referring to?

13 A Well, this is a controversial area. However, there are
14 studies out there which suggest that there are individuals who
15 have had no dust exposure, other small opacities, primarily due
16 to smoking, which can simulate the earliest findings of
17 dust-related diseases.

18 Q So are you saying -- what do you see as the utility of a
19 1/0 reading?

20 A Well, I think it has a limited utility except in vary
21 unique circumstances. I am very enthusiastic about the
22 earliest detection that we can possibly make of abnormality or
23 disease. However, I think we have to recognize the limitations
24 of this particular process of using that standard for the
25 reasons I alluded to earlier.

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1 Q Doctor, what are medical screenings in the broad sense of
2 the term?

3 A Medical screenings are tools used to evaluate the early
4 presentation of an abnormality or dysfunction in hopes of
5 promoting an intervention of some type that would then arrest
6 the process (indiscernible).

7 Q I'm sorry?

8 A That would arrest the process or possibly cure it.

9 MR. McMILLAN: Could I see GG-2085, please?

10 THE COURT: Wait, I'm sorry. Medical screenings are
11 used to evaluate the early detection, and then I lost it. I'm
12 sorry.

13 THE WITNESS: Okay. The early detection of a
14 pathologic process or dysfunction in terms of promoting an
15 intervention which might then arrest the process or possibly
16 cure it.

17 Q What are some examples of medical screening?

18 A Mammography is probably the commonest and most readily
19 recognized type of screening tool.

20 Q And are these useful techniques in most circumstances?

21 A I think there's been abundant literature which supports
22 it, yes.

23 Q Have you had any personal experience with screenings in
24 the litigation context?

25 A Yes, I have.

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1 Q What is that experience?

2 A In the late '90s, I was contacted by a representative from
3 N & M Company and requested to evaluate and do B-reads, if you
4 will, on 300 chest radiographs.

5 Q Did you review those radiographs?

6 A I did.

7 Q What did you find?

8 A I found that they were for the most part negative. I
9 found also that their film quality was poor and that the films
10 had already been pre-read.

11 Q How did you know the films had already been pre-read?

12 A There were notations on the jackets.

13 Q And was there any indication of what the prior reading
14 was?

15 A Yes, sir.

16 Q And what was it?

17 A They were positive.

18 Q And did you agree or disagree with those prior findings?

19 A I disagreed with the majority of the prior findings.

20 Q Did you relay your reads to N & M?

21 A Yes, sir, I did.

22 Q What was their reaction?

23 A There -- I --

24 MR. BAILOR: Objection; hearsay.

25 THE COURT: What he told somebody else is hearsay?

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1 MR. BAILOR: No, what they told him is hearsay.

2 THE COURT: Oh, yes. That is hearsay.

3 MR. McMILLAN: Understood, Your Honor, but we are
4 offering it for the purpose of Dr. Henry's experience with a
5 litigation screening and how that impacted his understanding of
6 the reliability of those screenings.

7 THE COURT: What's the difference? It's still
8 hearsay.

9 MR. McMILLAN: But I'm not offering it for the truth
10 of the matter. I'm offering it for the impact it had on this
11 witness and his understanding of the reliability of these
12 litigation screens.

13 THE COURT: I think I understand from his prior
14 testimony already what the impact would have been. It's still
15 hearsay. The objection is sustained.

16 Q Based on your experience with N & M, did you have an
17 impression of the reliability of the prior reads that you saw
18 on those x-rays?

19 A Well, they didn't agree with my interpretations.

20 Q Doctor, have there been other studies of the reliability
21 of x-ray reads from litigation screenings?

22 A Yes, sir, there have.

23 Q Can you tell me a little about them?

24 A There's a study in the late '90s from Penn State which
25 evaluated the Manville Trust, audited the radiographic

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1 findings, and there was the so-called Gitlin study, which was
2 published in 2004, which evaluated the comparison of claimant
3 readings versus an independent panel.

4 Q And in broad terms what did those two studies find?

5 A They found significant disparity --

6 Q Disparity --

7 A -- between the original readings and the readings done by
8 an independent panel.

9 Q And by "original readings" are you referring to readings
10 that came out of litigation screenings?

11 A I presume that was the case, yes, sir.

12 Q Around the time that those studies came out, were there
13 other factors that you, as a thoracic radiologist, became aware
14 of that caused you to question the reliability of
15 litigation-related x-ray readings?

16 A Well, there were some legal proceedings in Texas, I
17 believe. There were congressional hearings. There was
18 somewhat of a general buzz, if you will, for a lack of a better
19 way to explain it, among by colleagues and other B-readers that
20 we knew there were some things that were occurring that we were
21 kind of concerned about. There was an instance, I believe,
22 reported in one of the trade journals where a radiologist was
23 approached at a national meeting and offered money to sign a
24 blank B-reader form. So there were things of that type which
25 created some sense of concern and caution on my part.

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1 Q Were you subsequently asked to conduct a study of the
2 reliability of the claimants' x-ray reads in this case?

3 A Yes, sir.

4 Q What were you asked to do?

5 A Again, we were asked to evaluate or draw up a protocol to
6 evaluate the presence or absence of asbestosis or interstitial
7 fibrosis in a group of claimants who espoused that they had a
8 malignancy and then radiographic evidence of asbestos exposure.

9 Q So, the group of claimants that you were looking at were
10 claimants who were alleging that they had radiographic evidence
11 of asbestos exposure to link their malignancy to asbestos?

12 A Yes, sir. My screen is gone here, by the way.

13 Q Yes, that's okay.

14 A All right.

15 Q In accepting that project, what was your goal?

16 A Well, based upon the issues that were in the literature
17 and some of the more ambient concerns I had about the process,
18 the B-reader process, and the fact that I had been engaged with
19 it for over 20 years, I wanted to design the most precise and
20 scientific process I possibly could in hopes of developing a
21 reliable result, regardless of what it was, in terms of the
22 study we were going to undertake.

23 MR. McMILLAN: I'd like to skip ahead to GG-2087,
24 please.

25 Q Doctor, could you walk us through the process you used

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1 during your x-ray study?

2 A Okay. We began with 5,438 claimants that were identified
3 on the questionnaire, and that group was then reduced to
4 twenty-eight hundred and fifty-seven by various filters that
5 were added, such as the timing of when the chest radiographs
6 were obtained or submitted, various other demographic data and
7 compliance with -- I think there were some certifications that
8 had to be filed with the films and so forth. So, we came down
9 to a study pool of twenty-eight hundred and fifty-seven
10 claimant studies.

11 Q So, if I understand, the fifty-four hundred claimants were
12 the number in the PIQs that alleged they had radiographic
13 evidence to link their cancer to asbestos --

14 A That's correct.

15 Q -- and that you got it down to twenty-eight fifty-seven
16 based on various criteria?

17 A That's correct.

18 Q In addition, was -- did part of it depend on which
19 claimants actually submitted x-rays?

20 A Well, there were claimants who claimed they were going to
21 submit x-rays which did not, so there were actually some
22 instances where there were no x-rays.

23 Q And then once you had the twenty-eight fifty-seven
24 claimants, what did you do with that group?

25 A Well, at this point we wanted to derive a sample, which

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1 would be representative, of approximately 500 examinations or
2 evaluations of the chest x-rays, and so we had a goal of
3 developing 500 in each category. One category would be those
4 who had filed an x-ray with an accompanying B-reader form, and
5 the other group would be those that just simply filed x-rays
6 without B-reader forms, but would be representative of all law
7 firms which had submitted claims. So we took 500 and we
8 divided by twenty-eight fifty-seven, and you come up with
9 approximately .175. You multiply .175 by the number of x-rays
10 that were produced by a given firm. And that's how we arrived
11 at the numbers that we did in the various pools. If someone --
12 we had at least one from each firm, if you will. If they
13 didn't have a larger number -- if they just had one study then
14 they were incorporated so every firm would be represented.

15 Q And by "firm" you mean law firm who had claimants
16 submitting radiographic evidence?

17 A Yes, sir.

18 Q So once you had your two samples of roughly 500 claimants,
19 what did you do next?

20 A Well, we had them interpreted by three independent
21 readers.

22 Q And then what did you do with the results of those
23 interpretations?

24 A We tabulated them.

25 MR. McMILLAN: Let's skip to GG-2090.

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1 Q Dr. Henry, in devising the protocol for your x-ray study,
2 do you believe that you designed a reliable study protocol?

3 A It was my goal to design and oversee the most stringent
4 and scientific process possible, given the limitations of what
5 we had of time and so forth.

6 Q Can you tell us what you did in the design of your
7 protocol that was meant to maximize the reliability of your
8 study?

9 A Well, we used three independent readers, three blind and
10 independent readers. The readers were -- they didn't even know
11 who the other readers were. We kept them separate. They were
12 told not to talk to one another. If they did encounter one
13 another, do not discuss any of the cases. They were never told
14 for whom they were reading the studies. They didn't know the
15 end goal of the project at all. They were just asked to
16 provide B-readings.

17 Q Did you determine the number of readers in advance of
18 conducting your study?

19 A We did. In accordance with NIOSH guidelines, we
20 determined up-front that we were going to use three readers and
21 then use a majority, or consensus, reading.

22 Q How did you select the three readers for your study?

23 A These individuals were persons known to me as academic
24 physicians who were B-readers of at least 20 years who I knew
25 were highly qualified.

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1 Q Who provided the x-rays that you used during your study?

2 A All of the x-rays were provided by claimant law firms.

3 Q And did you use control films as part of your analysis?

4 A Yes, sir. As an additional quality assurance measure, I
5 introduced, unknown to the readers, 47 control studies.

6 MR. McMILLAN: I'd like to flip to the next slide,
7 please, which is GG-2091.

8 Q What are control films, Dr. Henry?

9 A Control films are those that we have incorporated, which
10 are both normal and abnormal, to determine the reading
11 tendencies of our readers.

12 Q So I take it that you know in advance -- or you selected
13 the control films?

14 A I did.

15 Q And what was the purpose of inserting those control films?

16 A Mainly to be certain that someone wasn't (indiscernible)
17 over-reading or under-reading the images.

18 Q And did you then compare your three independent readers'
19 reads to what you knew to be the results for those control
20 films?

21 A Yes, we did.

22 Q And what did you find?

23 A Well, they correctly identified the positive studies 86
24 percent of the time and the negative studies 88 percent of the
25 time.

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1 Q And what was your takeaway from that?

2 A That there was very little under-reading or over-reading
3 tendency on the part of our readers.

4 Q And did that give you confidence in the results of the
5 other readings that your B-readers were doing?

6 A It would enhance it significantly, yes.

7 MR. McMILLAN: I'd like to go to the next slide,
8 please, which is GG-2092.

9 Q Dr. Henry, is GG-2092 a reproduction of a chart from your
10 expert report that summarizes the results of your x-ray study?

11 A Yes, sir.

12 Q Is this a complete and accurate portrayal of the results
13 from your x-ray study?

14 A Yes, sir.

15 MR. McMILLAN: Your Honor, I would move GG-2092 into
16 evidence.

17 MR. BAILOR: Your Honor, we would object on the
18 relevance grounds previously stated.

19 THE COURT: It's overruled on that basis. It's
20 accepted as a summary.

21 Q Doctor, before I ask you about this, I would like you to
22 just look in your binder for one moment, please, and do you see
23 the tabs for GX284 and GX285?

24 A I do.

25 Q If you could look at those briefly, can you tell me, are

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1 those the protocols that you used in conducting your x-ray
2 study?

3 A Yes, sir, they are.

4 Q Are they true and accurate copies of the protocols you
5 used in conducting your study?

6 A Yes, sir.

7 MR. McMILLAN: I would move GX284 and 285 into
8 evidence, Your Honor.

9 MR. BAILOR: Objection; relevance.

10 THE COURT: Same ruling; overruled on the same basis.

11 Q And finally, doctor, would you look at GX286, GX327 and --

12 THE COURT: Wait. I'm sorry. What was the first
13 one, 286?

14 MR. McMILLAN: 286.

15 THE COURT: All right.

16 MR. McMILLAN: GX327.

17 THE COURT: All right.

18 MR. McMILLAN: And GX104.

19 (Pause)

20 Q Do you see those, doctor?

21 A Once again?

22 Q GX286, 327 and 104.

23 A I must be overlooking it, but I don't see 104 here.

24 THE COURT: It's --

25 Q Look at the very beginning.

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1 A Very beginning? Okay. Sorry.

2 Q Have you seen them now?

3 A I have, yes.

4 Q Are those three exhibits copies of the data that you
5 collected as part of your B-reader study?

6 A Yes, sir, they appear to be.

7 Q And are they true and accurate compilations of the data
8 that you used to prepare the chart that we have in evidence as
9 GG-2092?

10 A Yes, sir.

11 MR. McMILLAN: I would move to enter Exhibits GX286,
12 GX327 and GX104 into evidence.

13 MR. BAILOR: Continuing relevance objection.

14 THE COURT: Same ruling. They're admitted.

15 Q Doctor, I want to turn to GG-2092, please. And you said a
16 moment ago that these are the results of your x-ray study. I'd
17 like to start on Line 1 with the ILO firm sample. Can you
18 explain to us what the result of your x-ray study was for the
19 ILO firm sample?

20 A We had 471 claimants who provided chest radiographs with
21 an ILO form, and our three independent readers identified 33
22 out of the 471 that had a profusion of small opacities that
23 were greater than or equal to 1/0, for a total of seven percent
24 of the total.

25 Q How does that compare to the B-reads that had been

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1 submitted by those claimants for the very same x-rays?

2 A As you can see further on the first row there, or first
3 column, excuse me, not first row, out of 471 studies that were
4 evaluated, the claimant readers found that 383 of 471 had
5 findings of -- indicating a profusion of greater than or equal
6 to 1/0, or approximately 81 percent.

7 Q What was the result that you found for the all firm
8 sample?

9 A Well, as you can see, when we had 507 evaluations, a
10 positivity of 37, for a percentage of positivity of 7.3
11 percent.

12 Q Is that consistent with the result that you found for the
13 ILO firm sample?

14 A Well, it's very, very close, as you can see, 7.1 -- 7.01,
15 7.3 percent, very, very close.

16 Q Doctor, when you got the results for the ILO firm sample
17 and saw that your independent readers found seven percent had a
18 1/0 or greater compared to over 80 percent for the ILO -- for
19 the readers from the claimants, what was your reaction?

20 A Well, this was very unexpected. We were -- I was
21 basically shocked to see this difference.

22 Q And why is that?

23 A I just didn't think there would be that much of
24 discrepancy. I might have expected something -- some
25 discrepancy, but nothing of this magnitude.

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1 MR. McMILLAN: Well, let's look at the next slide for
2 a moment, please.

3 Q This is GG-2093. If we start on the left-most column,
4 doctor, what was the population that you were starting with,
5 the population of people who could potentially participate in
6 your study?

7 A The purple column is the claimants alleging radiographic
8 evidence of asbestos exposure, basically.

9 Q So all of the claimants who were potentially eligible were
10 people who were alleging they had radiographic evidence of
11 asbestos-related exposure to link their malignancy to asbestos?

12 A That's my understanding, yes, sir.

13 Q And then when a subset was selected that had their own
14 claimant B-reads, what was the result of their own claimants'
15 reads of those x-rays?

16 A Well, they found in the samples that we previously
17 mentioned that they had an 81 percent positivity rate.

18 Q And how does that compare to what your independent readers
19 found for those very same individuals?

20 A Again, we had a positivity rate of approximately seven
21 percent across all categories.

22 Q Now, the difference between 81 percent that their
23 claimants -- their claimant readers found and the seven percent
24 that your independent readers found, is that kind of difference
25 something that can be accounted for with inter-reader

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1 variability?

2 A I don't believe so. I mean, inter-reader variability is
3 always a factor in any type of a comparison evaluation.
4 However, in my interpretation of the literature of a similar
5 type of study, I did not encounter or have not encountered to
6 this point anyway, anything of this magnitude that could be
7 explained by inter-reader variability.

8 Q How you found any published article or any study where
9 people are looking at the same x-rays and found a difference of
10 over an order of magnitude in the positive rate due to
11 inter-reader variability?

12 A Not to the best of my knowledge, no.

13 Q Dr. Henry, following up on the results that we just looked
14 at, did you do an additional analysis that compared your
15 independent readers to specific claimant B-readers?

16 A I'm sorry, I didn't understand your question.

17 Q Did you follow-up the data that we just went through by
18 comparing your independent panel reads to specific physicians
19 who were claimant B-readers?

20 A Yes, sir, we did.

21 MR. McMILLAN: Can I see GG-2094?

22 Q Doctor, is GG-2094 a replication of a table that appears
23 in your July 2007 expert report?

24 A Yes, sir.

25 Q Is this a true and accurate portrayal of the results of

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1 your analysis comparing your panel of B-readers or your
2 independent B-readers to specific claimant B-readers?

3 A Yes, sir.

4 MR. McMILLAN: Your Honor, I would move this in
5 evidence.

6 MR. BAILOR: Objection. Relevance.

7 THE COURT: Overruled on the same basis.

8 Q And one last point before I get into it, Dr. Henry, if you
9 look in your binder, do you see GX-582 and GX-583?

10 A Yes, I do.

11 Q Are GX-582 and 583 the data sets that you relied on in
12 putting together the table that is GG-2094?

13 A Yes, sir.

14 Q And are those true, accurate, and complete versions of the
15 data sets that you used to create GG-2094?

16 A They appear to be, yes.

17 MR. McMILLAN: Your Honor, I would move them in
18 evidence.

19 MR. BAILOR: Again, we object.

20 THE COURT: Same ruling. They're admitted.

21 Q Doctor, if we look at GG-2094 for a moment, can you
22 explain what you did here?

23 A Basically, we took any individual reader who had a minimum
24 of 15 interpretations and determined the percent of positivity,
25 and then compared it to our readers to determine what the

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1 percentage of over-read was.

2 Q Okay. If we take an example -- if we take Phillip Lucas
3 about two-thirds of the way down, can you use that as an
4 example to explain exactly how this works?

5 A Right. Lucas read 20 studies. Had a reading of -- all of
6 them were -- (indiscernible) all of them as positive for a 100
7 percent positivity rating which would then be 100 percent
8 over-read as compared to our readers who found all of them
9 negative.

10 Q Okay. So, he found them all positive and your panel found
11 them all negative?

12 A Right.

13 Q What about Jay Segarra (phonetic) near the bottom? What
14 happened with Dr. Segarra?

15 A As I recall, he had -- well, he had 17 interpretations,
16 one of which was negative and 16 were positive. And so,
17 therefore, he had a positivity rate of approximately 94
18 percent. And then, according to our readers, an over-read of
19 approximately 94 percent.

20 Q Doctor, as a result of your x-ray study, both the table
21 that we looked at from -- that compared overall percentages of
22 greater than 1/0 -- greater than or equal to 1/0 from your
23 study compared to the claimant readers, as well as the study
24 looking at specific claimant physicians, did you reach any
25 conclusions?

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1 A Well, I think we did a very good study, number one. I
2 think we followed the appropriate guidelines and produced a
3 high quality scientific study.

4 Q Well, if I -- well, (indiscernible). If I could look at
5 GG-2095, doctor, what are your conclusions with regard to the
6 quality of the study that you did?

7 A Well, as I said, we followed accepted scientific methods.
8 We employed the appropriate number of B-readers, and we
9 determined that the percentage of abnormality, if you will, of
10 studies that were greater than or equal to 1/0 which is
11 approximately seven percent.

12 Q What's the takeaway from your study?

13 A Well, we had a very small number of patients who presented
14 with positive findings.

15 Q And what does it mean to present a positive finding?

16 A Well, basically that they reached a threshold of
17 abnormality that was predetermined as 1/0.

18 Q So, is it fair to say that the patients who -- only seven
19 percent of your patients had greater than or equal to a 1/0,
20 correct?

21 A That's correct.

22 Q So, are those the only ones who can show that they have
23 radiographic evidence of asbestosis based on their chest x-ray?

24 A Based upon their chest x-rays, yes, sir.

25 Q And so, are they the -- those seven percent the only

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1 individuals who can show that there is actual damage to the
2 lung tissue based upon their chest x-ray?

3 A That could be one interpretation, yes, sir.

4 Q Did you reach any conclusions with regard to the
5 reliability of the claimant readers?

6 A Well, I think based upon the significant magnitude of
7 differences between the three independent readers and the
8 claimant readers, it would give me great pause regarding the
9 reliability of those readings.

10 Q Did you reach any conclusions with regard to the
11 reliability of readings that come out of litigation screenings?

12 A Again, I think it would give me great pause based upon my
13 own personal experience that there would be -- that they're not
14 accurate.

15 Q And with regard to diagnoses of asbestos-related disease
16 that are based upon these claimant B-reads, do you have an
17 opinion about the reliability of those diagnoses?

18 A If those diagnoses are predicated on the evaluation of the
19 claimant x-rays, then I think they would also be suspect.

20 Q Thank you.

21 MR. McMILLAN: I have no further questions at this
22 time.

23 CROSS EXAMINATION

24 BY MR. BAILOR:

25 Q Good afternoon, doctor. How are you?

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1 A I'm fine.

2 Q Doctor, your study was not any random study, was it?

3 A It was a random setting. These cases were selected
4 randomly from the pool.

5 Q But, you divided the x-rays up into various pools designed
6 to get a certain specific number of law firms, is that not
7 correct?

8 A We did a -- we had a target of a particular number of
9 cases to make a representative sample, but all of the cases
10 were randomly selected in both pools.

11 Q Now, you mentioned that digital x-rays were becoming much
12 more common. You excluded digital x-rays from your study, did
13 you not?

14 A No, we did not exclude digital x-rays, we excluded
15 miniaturized x-rays.

16 Q How about x-rays that were on CD-ROM?

17 A No, sir. We had no way of evaluating them.

18 Q And you also did not include computer topography studies
19 or high resolution computed topography studies, did you?

20 A I excluded them, yes, sir.

21 Q Now, you said you discussed the subject of bias in the
22 selection of your readers and said one of the reasons why
23 multiple readers were recommended was to eliminate the
24 possibility of bias, is that correct?

25 A That helps, but it's not the only reason you have three

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1 readers.

2 Q Now, you didn't follow the (indiscernible) recommendation
3 of selecting your readers from the largest available pool of
4 B-readers, did you?

5 A I selected the B-readers from the largest pool that I
6 knew.

7 Q And how many is that?

8 A Fifty, 60, 70. Something like that.

9 Q And you selected academic B-readers?

10 A I did.

11 Q And now, what steps did you take to ensure that your
12 academic B-readers were not biased?

13 A Well, the most important step was the blinding of the
14 readers to any knowledge of why we were doing the study, who
15 was sponsoring it, what the outcome might be and so forth.

16 Q Now, Dr. Lee Syder was one of your readers, was he not?

17 A He was.

18 Q Were you aware of the fact that Dr. Lee Syder has
19 testified for defendants in 26 cases?

20 A No, I was not.

21 Q Dr. John Parker is one of your B-readers, is he not?

22 A He certainly was.

23 Q Yes. And he's one of Grace's expert witnesses in this
24 estimation proceeding, is he not?

25 A I believe he is.

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1 Q And he's also testified adversely to asbestos claimants
2 before the U.S. Senate.

3 A Perhaps. I don't know that.

4 Q He testified on behalf of (indiscernible) insurance
5 companies, are you aware of that?

6 A No, sir, I was not.

7 Q Now, you testify that one of the reasons of the perfusion
8 study is to ascertain if there's radiographic evidence of
9 asbestos exposure, is that correct?

10 A Say that again, I'm sorry?

11 Q If I understood you correctly, one of the purposes of the
12 perfusion reading is to determine if there is radiographic
13 evidence of asbestos exposure, correct?

14 A The perfusion --

15 MR. McMILLAN: I'm going to object that this
16 characterizes the witness testimony who's looking for whether
17 or not there was perfusion.

18 UNIDENTIFIED SPEAKER: We can't hear you.

19 MR. McMILLAN: He was looking at whether or not
20 there's perfusion greater than 104 asbestosis.

21 THE COURT: I think the witness was about to correct
22 the statement and can clearly answer on his own. The
23 objection's overruled.

24 A The perfusion deals with the presence or absence of
25 (indiscernible) tissue abnormalities, and they're very

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1 non-specific. And I think they should be distinguished from
2 radiographic findings such as pleural plaque or a calcification
3 which is much more specific in terms of attributing the
4 exposure to asbestos.

5 Q Okay. So, you would agree with me that pleural plaque
6 shows evidence of exposure to asbestos?

7 A Most of the time. There are other etiologies for pleural
8 plaques, but the vast majority of them are asbestos exposure.

9 Q How many of your films showed evidence of pleural plaques?

10 A It wasn't the focus of our study, and I have to recall
11 from memory, but I think it was in the vicinity of 20, 22
12 percent, something like that.

13 Q So, 22 percent of the films did show evidence of
14 significant --

15 A I'm guessing because it wasn't the focus of this
16 presentation, so I'm recalling from memory from several months
17 ago.

18 Q Now, you discussed on your direct examination what you
19 called screening examinations.

20 A Yes, sir.

21 Q What is (indiscernible) recommendation as to the number of
22 readers who should be present on -- should be utilized for a
23 screening exam?

24 A I don't know.

25 MR. BAILOR: May I approach the witness? I'm handing

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1 the witness what's been marked as ACC/FCR/2041.

2 THE COURT: Thank you.

3 Q Is this the NIOSH standard that you were referring to when
4 you discussed the practice in contested proceedings?

5 A Yes, sir, it is.

6 Q This does not deal with screening examinations, does it?

7 A No, I don't believe I said that, did I?

8 Q No, this standard does not apply to screening examinations
9 at all?

10 A I don't believe so, no.

11 Q I will now hand you ACC/FCR/2040, another NIOSH study.

12 Doctor, can you identify what ACC/FCR/2040 is?

13 A I believe this is a reprint from the NIOSH website
14 entitled "Chest radiography," and then subheading, "Recommended
15 practices for reliable classification of chest radiographs by
16 B-readers."

17 Q Now, I would like to call your attention, doctor, down to
18 the heading, "Worker monitoring and surveillance." Do you see
19 what I'm referring to there?

20 A I see it there, yes, sir.

21 Q And I would like to specifically invite your attention to
22 Paragraph 4 of that. Now, when we talk about worker monitor
23 known surveillance, is that your understanding of what is known
24 as a screening examination?

25 MR. McMILLAN: I'm going to object, Your Honor, to

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1 the extent that there's a false characterization that
2 litigation screenings done to file claims is something other
3 than a contested matter.

4 MR. BAILOR: There is no evidence, Your Honor, that
5 the initial screenings of these people were done necessarily to
6 file claims. Some may have been, some may not have been.

7 THE COURT: That's the case. I don't think I have
8 any evidence about what the initial classification was for --
9 initial screening was for.

10 MR. BERNICK: A point of fact, and I don't mean to
11 interrupt for Mr. McMillan is here, but -

12 MR. BAILOR: Your Honor, I object --

13 THE COURT: That's sustained, too. You've got a
14 person who's making objections. My understanding is that you
15 get one person making objections, you don't get a house. So,
16 you can talk to counsel if you want.

17 MR. BERNICK: Very well.

18 THE COURT: Let me -- in any event, the objection is
19 overruled. I don't believe I have any evidence with respect to
20 how initial x-rays were put together, beside which I believe
21 this witness is very confident to answer this question. Go
22 ahead.

23 A Your question again, sir?

24 Q I would invite your attention, doctor, to Paragraph Number
25 4. It says, "Number of readers and summary classifications. A

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1 Symbol B-reader classification of an east chest radiograph is
2 generally sufficient. Additional independent classifications
3 may be needed to ensure reliability within the program." Does
4 that -- is that consistent with your understanding of what is
5 required when we have a worker monitoring a program as opposed
6 to a reading for a contested proceeding?

7 A That's their documentation, yes, sir. That's what they're
8 saying.

9 Q And when they're doing worker monitoring, I would invite
10 your attention to Paragraph 5 with respect to blinding. There
11 is says, if I read this correctly, "Blinding; in order to
12 facilitate disease detection in environments where individuals
13 are potentially at risk, blinded classification is not
14 desirable." Did I read that correctly?

15 A You did.

16 Q Do you know why that is?

17 A I wouldn't know why they would put that in there.

18 Q Is it more important in a screening environment to detect
19 disease early?

20 A It's always important to detect disease early.

21 Q That's because the earlier you detect it --

22 A Regardless of the circumstances.

23 Q You have a little better shot at treating it, right?

24 A Correct.

25 Q Right. So, if you want to have a bias in a monitoring

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1 program, it would be towards early detection, would it not?

2 A I'm not sure you want a bias. I'm not sure that's what
3 they're saying, that they want to introduce bias.

4 Q Now, doctor, when you conducted your study, did you review
5 any medical histories of any of these claimants?

6 A Myself? No.

7 Q Do you have any idea what their exposure histories were?

8 A No, sir.

9 Q Do you agree that a chest x-ray will not necessarily
10 detect all cases of asbestosis?

11 A It is possible that in certain circumstances that would be
12 the case, yes, sir.

13 Q And I believe you mentioned in your report that you have
14 been at studies where you have -- I'm sorry -- you've been in
15 conferences where you have observed very experienced qualified
16 B-readers argue vehemently over the classification of a film,
17 is that not correct?

18 A Over the classification of a film is 1/0.

19 Q Yes.

20 A Not over other classifications, but that particular
21 threshold has been problematic for a very long time.

22 Q So, there is a great deal of disagreement, is there not,
23 among the thoracic imaging community as to when a film is 1/0
24 or not?

25 A I wouldn't say there's a great deal of disagreement in the

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1 community. I think everybody recognizes the difficulty and
2 some of the pitfalls in making that determination. But, I
3 wouldn't say there was a great deal of disagreement.

4 Q There was disagreement among your own readers as to
5 whether or not films were 1/0 or not, was there not?

6 A Disagreement among our own readers is a healthy sign, I
7 believe. It's a sign of their independent abilities. If they
8 were all reading the same thing all the time, then one would be
9 suspect if there was something wrong.

10 Q Do you know the number of times in your study when a --
11 one reader read the film as 1/0, whereas the other two
12 disagreed?

13 A No, not off the top of my head, but that wouldn't surprise
14 me.

15 Q Sixty-five cases sound too high?

16 A No. Again, you're going to have that when you read a
17 large number of studies with three readers. You're going to
18 have some disagreements and we recognize that. But, again, I
19 think that portrays the independent behavior of the readers as
20 a good sign that they are reading independently and not biased
21 or being influenced one by the other. If they all read the
22 same things all the time, then I would be very suspect that
23 there was bias or there was some communication or something was
24 awry.

25 Q All right. How many -- are you aware of the number of

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1 cases in your study where the three readers -- all three
2 disagreed on the classification of the film?

3 A Not specifically, no. But, I'm sure it happens.

4 Q How about 73 times?

5 A I'm not sure what you mean by disagreement. I mean, can
6 you be more specific?

7 Q All three had different perfusion readings for the film.

8 A Well, that might be 0001010, which are all within two or
9 three minor categories. So, disagreement of that scale is not
10 necessarily a bad thing.

11 Q How many occasions did your readers agree on whether or
12 not the film was completely negative?

13 MR. McMILLAN: Objection.

14 A Are we talking now about the individual readers or are we
15 talking about the consensus reading here? What are you
16 referring to?

17 Q Well, let's start out with individual readings.

18 A Well, we didn't conduct a study that actually looked at
19 that. We conducted a study at the outset which determined that
20 we would accept the profusion and the reading for the study as
21 a majority reading. So, we're not looking at individual
22 readers here. We're looking at the majority reading and that's
23 what I reported on.

24 Q All right. Now, my question was, how many of your readers
25 found the films were completely negative?

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1 A I don't know. I don't have that information off the tip
2 of my fingers.

3 Q Did you dally it?

4 MR. McMILLAN: I would object to the (indiscernible)
5 to what he means by completely negative.

6 THE COURT: Yes, that's sustained. I don't
7 understand the question either.

8 MR. BAILOR: There is a question on the ILO form that
9 was on the screen earlier. I forget the number of it. But, it
10 asks the question of the reader, "Is the film completely
11 negative?"

12 Q Is that not correct, doctor?

13 A That's correct.

14 Q All right. Now, let's take the case of Dr. Parker. How
15 many films did he find were completely negative?

16 A I don't know.

17 Q Would it surprise you to learn that he found 664 films
18 were not completely negative?

19 A Well, that might be. I don't know.

20 Q And are you aware of the fact that Dr. Robert Tarver
21 (phonetic) found 581 films were not completely negative?

22 A That's possible.

23 Q And Dr. Syder found 500 films were not completely
24 negative?

25 A Well, I think it should be kept in mind that there are

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1 other things on that study which would indicate that the film
2 was abnormal other than a dust related abnormality.

3 Q It could also include pleural plaques, could it not?

4 A I think I eluded to the fact earlier that we already
5 covered that there was approximately a 20 percent detection of
6 pleural plaques.

7 Q And some of the readers noted cancer?

8 A I believe so.

9 Q And these were, of course, films submitted in conjunction
10 with a claim for lung cancer, right?

11 A The readers didn't know that.

12 Q But, the films were submitted in connection with a claim
13 for lung cancer, correct?

14 A That's true, but in some cases those patients developed
15 cancer after the time the study was performed, or they had had
16 surgery to remove that cancer. So, that's --

17 Q That's right. And you also excluded from your study the
18 post-operative films where the lung cancer had already been
19 removed, hadn't you not?

20 A We did that to prevent the confusion with post-operative
21 or post-therapy changes, yes.

22 Q Do you agree with the American Thoracic Society's view
23 that high resolution computed topography is much more sensitive
24 than the detection of asbestosis than plain chest radiographs?

25 A Yes.

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1 Q And you had no high resolution computer topography in your
2 study, is that correct?

3 A We excluded them.

4 Q Now, going back to NIOSH's practice in contested
5 proceedings, NIOSH recommends that there be agreement reached
6 up front on the study criteria, do they not?

7 A I'm sorry. I'm not sure I understand you. Up front -- I
8 think it suggests that you determine the number of readers at
9 the outset. Is that what you mean?

10 Q Not quite. Could I invite your attention back to
11 ACC/FCR/2041?

12 A Yes, sir.

13 Q I would like to invite your attention to Paragraph 3 on
14 the second page. I'm sorry, Paragraph 4 on the second page at
15 that exhibit. It says, "To avoid any implication of bias, it
16 is necessary to specify from the outset the number of readers
17 that will be used." Did you discuss the number of readers with
18 the claimants?

19 A With the claimants? No, sir.

20 Q Do the claimants have any role in constructing the design
21 of the study?

22 A No, sir.

23 Q Doctor, did you review any pulmonary function tests of any
24 of the claimants?

25 A No, sir.

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1 Q Do you agree with me that in order to make an accurate
2 diagnosis of asbestos, you cannot make such a diagnosis solely
3 from the chest x-ray?

4 MR. McMILLAN: I'm going to object to an accurate
5 diagnosis of asbestos.

6 THE COURT: That's sustained.

7 MR. BAILOR: I'm sorry?

8 THE COURT: An accurate diagnosis of asbestos?

9 MR. BAILOR: I'm getting it myself.

10 Q Do you agree, doctor, that you cannot accurately diagnose
11 asbestosis based solely on a chest x-ray?

12 A Certainly there are findings which would be consistent
13 with that diagnosis, but it is not a diagnostic study.

14 Q And would you agree that in order to diagnose asbestosis
15 there should be a physical examination performed?

16 A That's not within the realm of my expertise, sir.

17 Q Do you know how many of these claimants actually, in fact,
18 have a asbestos-related condition?

19 A No, sir, I don't.

20 Q And how does this assist this Court in making an
21 estimation?

22 A My responsibility, basically, was to evaluate the studies
23 that I was given to evaluate in terms of the presence or
24 absence of, in this case, an interstitial (indiscernible)
25 processor asbestosis which is what I've done.

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1 Q So, based on what -- you can provide no information at all
2 as to whether or not any one of these individuals has an
3 asbestos-related condition?

4 A It's not within the purview of imaging to do that under
5 any circumstances.

6 MR. BAILOR: Can I have a moment, Your Honor?

7 THE COURT: Yes, sir.

8 MR. BAILOR: No further questions, Your Honor.

9 THE COURT: Mr. Mullady, how long will you be, Mr.
10 Mullady?

11 MR. MULLADY: Ten, 15 minutes.

12 THE COURT: Would you like a ten-minute break first,
13 doctor? Do you want to keep going? All right, Mr. Mullady, go
14 ahead.

15 MR. MULLADY: I'm just pausing for a moment to let
16 Mr. Ryan get set up, Your Honor.

17 THE COURT: All right.

18 MR. MULLADY: The Court's indulgence.

19 CROSS EXAMINATION

20 BY MR. MULLADY:

21 Q Dr. Henry, good afternoon.

22 A Good afternoon.

23 Q I represent the interests of future claimants against
24 Grace. Your study involved films submitted by current
25 claimants against Grace, obviously, correct?

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1 A Yes, sir.

2 Q You're not here to opine that because only seven percent
3 of the films of current claimants were read by your readers as
4 having reliable radiologic evidence of asbestosis or damage to
5 lung tissue, that that means that only seven percent of future
6 claimants against Grace will have such evidence, are you?

7 A I can only comment on the studies that I perform.

8 Q You're not here to make any such extrapolation and you
9 haven't done so, is that correct?

10 A No, sir.

11 Q Now, you also have no opinion on how many of the 93
12 percent of current claimants whose films the Grace panel found
13 to be negative would have obtained a second x-ray had they
14 taken their cases to trial against Grace, correct?

15 MR. McMILLAN: Objection. That calls for
16 speculation, Your Honor.

17 THE COURT: He's an expert. That's what he does.

18 A One more time, please?

19 Q Sure. Of the 93 percent of claimants --

20 A Ninety-three percent who had negative findings by our
21 readers?

22 A Yes. You're not here to say, and you're not here to opine
23 that 93 percent of -- that that 93 percent would not have
24 obtained a second x-ray had they taken their cases to trial
25 against Grace?

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1 A I have no opinion about that.

2 Q And you have no opinion about how many of those claimants
3 would've been able to obtain a positive x-ray had they
4 endeavored to obtain additional x-rays, is that fair?

5 A No, I don't have an opinion on that, no.

6 Q Okay. Now --

7 MR. MULLADY: Do we have the Elmo on? If we could go
8 to that, please.

9 Q Putting GG-2087 on the Elmo. This is your slide depicting
10 the process used in the Henry study. Do you recall this?

11 A Yes, sir.

12 Q You started with 5,438 claimants who alleged radiographic
13 evidence of asbestos-related disease, correct?

14 A That's correct.

15 Q But, of those 540038, only 2857 had submitted x-rays with
16 certification and demographic information, correct?

17 A That's correct.

18 Q So, only 2800 of the 5400 actually submitted films that
19 you could even review?

20 A Well, there were more than that, but some didn't have
21 proper identification. Some didn't have the proper
22 certifications. Some didn't meet the deadlines prescribed by
23 the Court.

24 Q Fair enough. But, in any case, that's over half of the
25 claimant population that you study. I represent to you it's

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1 about 52 percent, is that -- sound right?

2 A Of the original persons identified on the PIQs, yes.

3 Q Right. Now, you're not here to opine that 52 percent of
4 future claimants who will be claiming against Grace will have
5 no x-rays to support their claims?

6 A No, sir, I'm not.

7 Q Let me ask you some questions about opinions that I think
8 you are here to offer. Do you agree that if a qualified
9 B-reader assessed a patient's chest x-ray with a profusion
10 rating of 1/0, that that would be a clinically significant
11 finding that the patient's malignancy may be attributable to
12 asbestos exposure?

13 A That's not my area of expertise, sir. I have no opinion
14 about that.

15 Q Okay. Do you have an opinion as to whether a clinician
16 holding an x-ray with a profusion rating of 1/0 should or
17 should not ignore that assessment just because another B-reader
18 disagrees?

19 A In other words, the B-readers don't agree on the
20 profusion? Is that what you're saying?

21 Q Right. Does that make the first profusion any less
22 clinically significant?

23 A I would probably get a third reading.

24 Q Do you agree that reasonable B-readers can disagree as to
25 whether a given x-ray should be assessed at an ILO rating of

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1 1/0 or higher?

2 A I think they can disagree at 1/0 at a higher level that's
3 less likely there would be disagreement.

4 Q It's a question of fact, isn't it, whether a particular
5 x-ray should've been assessed at a 1/0 rating or higher?

6 A I'm sorry? I don't understand.

7 Q It's a question of fact, isn't it?

8 MR. McMILLAN: I'm going to object that he's asking
9 for a legal question of the witness.

10 THE COURT: Sustained.

11 Q Doctor, I'd like to ask you some questions about x-ray
12 quality which is a topic that you addressed in your expert
13 report.

14 MR. MULLADY: If we could have ACC/FCR Exhibit 476,
15 please? And we'll have to switch off the Elmo.

16 Q You wrote on Page 14 of your October 2006 report on this
17 issue of x-ray quality, doctor, that "Film quality has plagued
18 the classification process for decades and is a factor in
19 reader variability." Do you see that?

20 A Yes, sir.

21 Q Do you stand by that statement?

22 A I do.

23 Q Poor film quality can lead a reader to over or under read,
24 correct?

25 A That's correct.

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1 Q Do you agree that it is harder to get a good quality x-ray
2 from a larger person?

3 A You meaning larger, meaning BMI, meaning six-foot seven,
4 meaning --

5 Q Well, let's take you to the place in your report where you
6 refer to this, Page 14, where you were discussing larger
7 individuals. "Film quality in larger patients is always a
8 challenge," you wrote. "Many workers who perform physical
9 labor are large people." Did I read that correctly?

10 A That's true.

11 Q And that was your opinion at the time?

12 A It is.

13 Q I assume it still is.

14 A It is.

15 Q It's a major challenge to maintain -- excuse me. Another
16 issue with obtaining a quality x-ray is whether the equipment
17 is properly maintained, is that correct?

18 A That's correct.

19 Q And I think you addressed this at Page 15 of your report
20 where you wrote that "It is a major challenge to maintain
21 equipment in a portable environment in sufficient working order
22 to obtain good quality x-rays."

23 A That's true.

24 Q Small facilities like private doctor's offices, factories,
25 and other non-healthcare facilities struggle with film quality,

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1 isn't that correct?

2 A That is true.

3 Q Doctor, on the issue -- shifting gears here again -- on
4 the issue of the number of B-readers that is necessary or
5 recommended in contested proceedings, I want to go back to two
6 slides that you showed us. And you prepared these slides
7 yourself as opposed to counsel, is that correct?

8 A I'm sorry?

9 Q These demonstratives that you used in your testimony, you
10 prepared these yourself, right?

11 A I participate on their development, but I did not prepare
12 them.

13 Q I see. Well, I want to ask you about one statement on
14 2083, "X-rays should be classified by three independent
15 readers." Do you agree with that statement?

16 A Yes, sir.

17 Q Under the NIOSH recommendations? .

18 A For contested reading, yes, sir.

19 Q Is this a derivation of that statement, GG-2082?

20 A That's from the same area of that report, yes. Yes, sir.

21 Q And this slide, unlike the prior slide, actually quotes
22 from the NIOSH publication, correct?

23 A That's correct.

24 Q And this slide states, "NIOSH recommends a minimum of two
25 independent classifications by appropriately selected readers

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1 with a third classification if the first two disagree,"
2 correct?

3 A That's correct.

4 Q That's a little different than saying that x-rays should
5 be classified by three independent readers, isn't it, sir?

6 A The bottom line is, that for practicality sake,
7 recognizing that the inter-reader variability, that there's
8 going to be a necessity to have a third reader. And we may
9 have taken a little bit of license there, but the bottom line
10 is in finishing out that sentence, that a third reader would be
11 called in to determine if there was a disagreement.

12 Q A little bit of license.

13 MR. MULLADY: I have no further questions. Thank
14 you.

15 THE COURT: Doctor, please, can you explain from the
16 corpuses of your study for me, please, the significance of the
17 1/0 read?

18 THE WITNESS: The significance?

19 THE COURT: Yes.

20 THE WITNESS: If a chest x-ray is determined to
21 demonstrate a profusion of 1/0, that is alleged to indicate the
22 earliest signs of an asbestos or another occupational lung
23 disease affecting the lung tissue. It would be the earliest
24 stages of what is probably a fibrotic process. However, it
25 should be kept in mind that this is a non-specific study that

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1 many things present similar findings. Many other things other
2 than asbestos produce a fibrotic reaction in the lung. So,
3 while we find that 1/0 is a threshold that's been commonly
4 employed to say that somebody has the earliest signs of an
5 asbestos-related disorder in this venue, that it is, however,
6 not specific.

7 THE COURT: And you used 1/0 as the test that you
8 were -- for your purposes for what reason?

9 THE WITNESS: We use that because it was recommended
10 by the 2004 ATS guidelines which are currently, I guess, the
11 point of the realm.

12 THE COURT: All right. Thank you. Anybody have any
13 questions as a result of the questions I've just asked this
14 witness?

15 MR. MULLADY: No, Your Honor.

16 THE COURT: Anything further?

17 MR. McMILLAN: Brief redirect, Your Honor.

18 THE COURT: Limited to the recross?

19 MR. McMILLAN: Yes.

20 THE COURT: Okay. Or, I'm sorry. This is redirect.
21 I apologize -- wrong witness. I'm sorry.

22 MR. MULLADY: Your Honor, if this is going to be more
23 than a few minutes could we take a short recess?

24 MR. McMILLAN: I imagine it will be ten to 15
25 minutes, but I'm happy to do a short break.

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1 THE COURT: Let's take a ten-minute recess. We'll
2 take a ten-minute recess.

3 MR. MULLADY: Thank you, Your Honor.

4 MR. McMILLAN: Thank you, Your Honor.

5 (Recess)

6 THE COURT: Mr. McMillan. Doctor, ready? Okay.

7 REDIRECT EXAMINATION

8 BY MR. McMILLAN:

9 Q Dr. Henry, do you recall being asked questions by Mr.
10 Bailor relating to the NIOSH standards that would apply to
11 worker surveillance classifications as compared to contested
12 matter classifications?

13 A Yes, sir.

14 Q And if you had a matter that was a worker screening and
15 the result of that screening was to be used to press a claim in
16 court for asbestos-related disease, which classification system
17 would apply to those types of screenings?

18 MR. BAILOR: Objection. Calls for a legal
19 conclusion.

20 MR. McMILLAN: Your Honor, I think I'm asking the
21 exact same question as Mr. Bailor.

22 THE COURT: Well, he may have, but if you ask the
23 same question then it's been asked and answered. Otherwise,
24 the way you asked it, it calls for a legal conclusion.
25 Sustained.

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1 MR. McMILLAN: Okay.

2 Q Dr. Henry, when you have a worker surveillance screen that
3 only calls for one classification, is that the type of matter
4 where the claim is then used in a contested proceeding?

5 A It's possible.

6 Q If the claim was a worker screening, but the intent was to
7 use the result of the worker screening to press in a contested
8 matter, would the contested matter classification guidelines
9 apply?

10 A I would think so. If you were going to move into that
11 venue, yes, then I think the contested guidelines would be
12 appropriate.

13 Q And certainly when you conducted your study in this case,
14 this is a contested matter, right?

15 A There's no question.

16 Q So, what was the appropriate procedure for you to follow
17 when you were designing the guidelines for your study?

18 A Well, we employed the contested reading guidelines of
19 multiple readers.

20 Q Mr. Bailor also asked you a little bit about inter-reader
21 variability, and specifically about some of the variability in
22 the reads of your independent readers. Do you recall that?

23 A Yes, sir.

24 Q I want to talk a moment about when you have readings at
25 1/1. When you have readings at 1/1, would you expect more

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1 agreement then when you have readings at 1/0?

2 A Yes, sir, I would.

3 Q And would you expect less variability among your
4 independent readers at 1/1?

5 A Yes, I would.

6 Q Would that be in part because there's a 1/1 standard?

7 A In part, yes.

8 Q And what would other reasons be why you would expect less
9 variability at 1/1?

10 A I think there's a less arbitrary decision on the part of
11 the interpreter to arrive at a 1/1 classification.

12 Q So, would you be more confident in results where you had
13 replicated 1/1 readings?

14 A Personally, yes, I would.

15 Q Now, would you expect there to be more variability at 1/0?

16 A Yes, I would.

17 Q And is that expected because of the understanding that
18 people who are B-readers have about inter-reader variability?

19 A There is some -- probably some contribution from
20 inter-reader variability, but it plays to the concept of the
21 1/0 as being an arbitrary decision. Since there is no 1/0
22 standard, you're almost inviting inter-reader variability.

23 Q Is that one of the reasons that you use three B-readers?

24 A Yes, sir.

25 Q And the point of that is to minimize variability by having

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1 multiple people look at the x-ray?

2 A It's to address that fact, yes.

3 Q And is it more critical, then, to follow the NIOSH
4 recommendations and ILO standards when you're looking at a film
5 that is a 1/0 where accuracy is even harder to attain?

6 A Well, I don't know that it's more important. I mean,
7 certainly it would seem appropriate to do so. I think it's
8 appropriate to follow the guidelines at all levels, but I think
9 it would be most advantageous, or probably particularly
10 advantageous at low level of profusion.

11 Q Let me phrase it a different way. At low levels of
12 profusion, would failing to follow the guidelines have a higher
13 propensity to result in variable readings?

14 A Which guidelines now?

15 Q The ILO and NIOSH guidelines.

16 A In terms of multiple readers?

17 Q Well, I'm just saying if you add lower profusion levels,
18 if you fail to follow the guidelines, is it going to result in
19 greater variability?

20 A Yes, I think it would.

21 Q Doctor, Mr. Bailor asked you briefly about whether or not
22 you use CTs or HRCTs as part of your study. I believe you said
23 you did not.

24 A I did.

25 Q Why is that?

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1 A Well, first of all, there are no standards for the
2 interpretation of a CT scan as it relates to the evaluation of
3 an occupational lung disease. Some people use a slice
4 thickness of ten millimeters, some might use five, some might
5 use three. It's hard to compare a standard CT done in, say,
6 five or three millimeters to a high resolution study which is
7 done at a one millimeter slice thickness. So, you're all over
8 the map regarding what the technique employed might be.

9 Q So, that is what -- you're saying there's no technique
10 standardization?

11 A There is no technique standardization for either CT or
12 HRCT as it relates to the evaluation of industrial-related
13 disorder. It's up to the individual, whatever is a prevalent
14 process at a particular institution or whatever. Whether they
15 do them supine, that is with a patient laying on the back or
16 where they turn the patient over and do the prone which is
17 absolutely necessary, in my opinion, for patients in this
18 particular area.

19 So, recognizing the fact that there's no standardized
20 technique for the performance of these studies regarding slice
21 thickness, positioning, how much we're going to look at. We're
22 going to look at the entire lung, the bottom of the lung, parts
23 of the lung, et cetera, it's an open book. Secondly, to the
24 best of my knowledge, there is no agency out there that's
25 authorizing anybody to read HRCT or CT, and the same way that

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1 there's an agency which stipulate the people have proficiency
2 in reading chest x-rays as B-readers.

3 Q So, has the ILO and NIOSH or any other government agency
4 issued any standards for the technique to conduct CTs or HRCTs?

5 A No.

6 Q Has NIOSH, ILO or any other government agency issued any
7 standards for how to interpret CTs or HRCTs for pneumoconiosis?

8 A No.

9 Q You mentioned earlier the lack of standardization in the
10 technique.

11 A Yes.

12 Q Is there any problem with lack of standardization in the
13 interpretation of x-rays for -- or, sorry -- CTs or HRCTs for
14 pneumoconiosis?

15 A Well, again, it relates to the technique. The technique
16 would be integral to how that standard would be implemented in
17 terms of what part of the lung you were examining, whether you
18 were examining the patient in a particular position, how many
19 slices would you look at? I mean, with the chest x-ray you
20 have one image and that's all you have to deal with, but with
21 the CT, you can have multiple images. And so, the problem
22 might arise of, well, what if we finding on one image, but it's
23 not on the other image at a different level of the lung. Is
24 that a significant finding, is it not? And nobody really knows
25 the answer to that.

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1 So, while I think CT is a very promising tool as HRCT
2 is, there are still a lot of significant challenges out there
3 regarding standardization, the protocol for the technique, the
4 interpretation and so forth that have yet to be resolved.

5 Q Now, in terms of the study that you did, you were
6 examining chest x-rays that had been submitted by these
7 claimants, right?

8 A That's correct.

9 Q And you were comparing them to your independent read?

10 A That's correct.

11 Q So, the comparison of your reads to the claimant readers
12 reads, whether or not they were HRCTs, does that have any
13 impact on the validity of the comparison of your reads versus
14 the claimant reads on the exact same chest x-rays?

15 A Well, our study was based on the comparison of the chest
16 radiographic readings. It had nothing to do with CT or HRCT.

17 Q One last point, doctor. You were asked, I believe, by Mr.
18 Bailor about the box on the form to check whether or not the
19 x-ray was completely negative or not.

20 A Correct.

21 Q And I believe what you said is that there are other things
22 on the ILO form that do not relate to asbestos.

23 A That's true.

24 Q Was that what you said? So, if someone checks the box
25 that says, "This is not completely negative," does that mean

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1 anything for whether or not the patient has an asbestos-related
2 abnormality?

3 A No.

4 Q In fact, the population that you were looking at is a
5 population of cancer claimants, right?

6 A Correct.

7 Q So, in general, what would your expectation be about the
8 level of abnormality in a population, all of whom had cancer,
9 many of whom had lung cancer?

10 A Then I would expect the significant number of the studies
11 to be abnormal, and them to check that off as being abnormal.

12 MR. McMILLAN: I have no further questions, Your
13 Honor.

14 MR. BAILOR: Very briefly, Your Honor.

15 RECROSS EXAMINATION

16 BY MR. BAILOR:

17 Q Doctor, determining whether or not a chest x-ray is
18 negative or a positive, that is 1/0, or negative, that's really
19 a matter of opinion in many cases, isn't it?

20 A It shouldn't be a matter of opinion. I mean, it should be
21 that you function within the guidelines of the ILO system
22 utilizing the standard -- the radiographs. So, it's more than
23 an opinion. I mean, there should be some scientific process
24 going on that's based upon training and so forth.

25 Q But, you have testified there is no standard for 1/0,

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1 correct?

2 A There is no radiographic standard for 1/0, that's correct.

3 Q And the radiologist has to make a decision and form an
4 opinion as to whether not that radiograph is 1/0?

5 A Well --

6 MR. McMILLAN: Objection. Compound.

7 THE COURT: No, that's not compound. Overruled.

8 A The process would be, typically, to put the, say, the
9 claimant chest x-ray up on a view box and to put the standards
10 next to it of what she would reconsider either normal or
11 abnormal. In this case, 0/0 and 1/1, and then make a
12 determination based upon your skill or whatever as to whether
13 it did reach the level of 1/0.

14 Q And your skill or whatever includes your judgment?

15 A In that case, yes, sir.

16 Q Okay. Now, reading a CT and HRCT is a matter of judgment,
17 too, isn't it?

18 A Yes, sir.

19 Q And a radiologist reading a CT scan or a high resolution
20 computer topography can also have an opinion as to whether or
21 not it demonstrates asbestos-related disease, can he not?

22 A Yes, sir.

23 MR. BAILOR: No further questions, Your Honor.

24 THE COURT: Mr. Mullady?

25 MR. MULLADY: No questions.

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1 THE COURT: Any --

2 MR. McMILLAN: No further questions, Your Honor.

3 THE COURT: You're excused, doctor. Thank you.

4 MR. BERNICK: Your Honor, I believe that that is our
5 last live witness. We have matters to present to the Court
6 tomorrow by deposition, and Ms. Harding, and perhaps Mr. Finch
7 and Mr. Mullady can describe that, but we are done with the
8 live witnesses that we have prepared for today and tomorrow.
9 The examinations were on schedule and fairly, you know, within
10 schedule so that we're moving along just fine, so that's not a
11 cause for concern. I think that things are moving along just
12 fine. But, tomorrow we will have prepared -- it's not prepared
13 now -- a package to present to the Court, and if it would be
14 appropriate and Your Honor wants to learn about it, I'm sure
15 that Ms. Harding can explain what's going to be happening so
16 that you're prepared.

17 THE COURT: That might be helpful so we can, perhaps,
18 go through that now rather than in the morning.

19 MS. HARDING: Good afternoon, Your Honor.

20 THE COURT: Good afternoon.

21 MS. HARDING: We're currently scheduled to present by
22 deposition testimony, the testimony of eight doctors and
23 screeners who have created medical evidence that has been
24 offered by the -- some of the claimants in this case. Per the
25 CMO, we have designed our portions of the transcript that we

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1 want to play for the Court. The other side has
2 counter-designated, and we have prepared binders for the Court
3 with all of the information, including our designations, their
4 designations, any objections that either party had, as well as
5 the exhibits that are implicated by the testimony and any --
6 and objections, if any, to those exhibits.

7 So, those are prepared. They're ready. We'll give
8 those to you as soon as we conclude today. In the meantime,
9 though, we've also -- that would -- if we played all of that,
10 if we did all of that tomorrow, all of the designations would
11 probably take the whole morning and a good part -- at least
12 part of the afternoon -- at least over four hours or so.

13 We've met and conferred -- the ACC, the FCR, and the
14 debtors have met and conferred, and we have agreed to, if
15 it's -- if Your Honor wants to proceed this way so as to save
16 court time, we have agreed to just play portions of each
17 witness that we -- each side chooses. So, for the debtors
18 we've chosen roughly a total of 45 minutes total from the
19 entire list of designations that we've made that we would play
20 for the Court. And the ACC and the FCR plan to
21 counter-designate a similar amount of time tomorrow, and the
22 entire -- but the entire testimony that the Court would want to
23 consider at some point will be offered.

24 So, that's the way we intend to proceed if that's the
25 way Your Honor would like us to proceed. We were trying to

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1 kind of keep it efficient and keep the court time down on that
2 issue.

3 THE COURT: Well, here's the problem. And I agreed
4 to let the parties in Federal-Mogul do that. But, the trouble
5 is, at the end of the day I still have to go through it all,
6 and frankly, it's harder to do it in the office than it is to
7 do it in court because then, all I have are boxes of documents
8 and CD-ROM, and it's much more difficult to get the time to do
9 it there then it is to get the time to do it here. So --
10 because things like this trial interfere. Well, I mean
11 interfere with that case, I don't mean interfere with this
12 case, obviously. You know, you need the time and I'm -- I
13 didn't mean character assertions by any means. I just mean
14 that in trying to figure out how you're going to budget your
15 time, you have to budget the time. And you can only do one
16 thing at a time. So, I can either do Federal-Mogul or I can do
17 this, but I can't do both at the same time.

18 MS. HARDING: I understand, Your Honor.

19 THE COURT: All right. So, I would say that if you
20 really want to get this case done in the most expeditious
21 fashion, much as I hate to say this because I can read a whole
22 lot faster than I can listen, it would probably be better to
23 just do it all in sequence tomorrow.

24 MS. HARDING: That's what we'll do, Your Honor.

25 THE COURT: I mean, and start with the witnesses.

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1 MS. HARDING: If that's what you'd like, that's what
2 we'll do. Okay.

3 THE COURT: So --

4 MR. BERNICK: So, that would mean that Your Honor
5 would actually rule the objections. How would you prefer,
6 then, that the matter be put before the Court? Do we just have
7 people read and offer documents?

8 THE COURT: Well, I haven't seen what you're going to
9 do, so I'm not sure. These are all just deposition transcripts
10 with --

11 MR. BERNICK: Yes. They are marked up deposition
12 transcripts. The only portion that has been -- well, I suppose
13 we could do the whole thing by video, but I think that the
14 videos have been focused on portions of the transcript. You
15 say that Barb, but are you sure that you --

16 MS. HARDING: We can do both. We have video that we
17 could do either the snippets -- we can do the video of the
18 entire presentation where there is video available. There's
19 one transcript where there's not video available.

20 THE COURT: Videos take an awful long time.

21 MR. BERNICK: Yes. They take an awful long time.
22 And the difficulty, then, is you have to then make the
23 objection and, you know, before the thing rolls on, and then
24 the Court has got to rule. So, what would be your -- we do
25 want to show some of the snippets of the people.

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1 THE COURT: Yes. And I would like to see some. But,
2 I don't see why we have to do the whole thing by way of video,
3 especially if you get into some long argument in a deposition
4 that's basically not going to be relevant to what you're
5 arguing about here.

6 MR. BERNICK: Then what I would propose, if this is
7 agreeable to everybody, is that in order to get through the
8 transcripts most quickly, we should begin at the beginning of
9 the transcripts and go through and basically take, you know,
10 Q's and A's, have it read, and Your Honor rule on the
11 objections. The alternative is to proceed by counter -- by
12 designation and counter-designation in which case you're
13 flipping back and forth which is --

14 THE COURT: Doesn't make sense.

15 MR. BERNICK: It doesn't make any sense. So -- I
16 mean, it's very -- I think that it's -- probably the fastest
17 way to get through is you literally begin at the beginning of
18 the deposition. Somebody will be the reader. It doesn't have
19 to be either side. Somebody could be the reader -- designated
20 reader -- question and answer objections made, it comes in,
21 doesn't come in, and we just go through the transcripts in that
22 fashion.

23 THE COURT: All right. Well, with respect to the
24 objections, and this is why I'm asking, sometimes you make
25 objections for purposes of --

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1 MR. BERNICK: Yes, I know.

2 THE COURT: -- discovery depositions that have
3 absolutely nothing to do with the trial objections. So, are
4 you saying that every objection that you raised in the course
5 of these depositions are things that you're now going to raise
6 at trial?

7 MR. BERNICK: No. Well, that shouldn't be the case.
8 It should be the case that people have exercised in judgment
9 about what it is that you're going to be objecting to. I do
10 think that it probably makes sense in light of the fact that
11 this is not going to be an (indiscernible) exercise, that it
12 probably makes sense for both sides to go through the
13 transcripts tonight and figure out what objections they really
14 want to press because I'm assuming that Your Honor will then
15 expect the objection to be made and ruled upon in court before
16 the reading continues.

17 MS. HARDING: I think, and they can correct me if I'm
18 wrong, but I think that the -- I think they've already -- the
19 ACC and FCR have already identified the portions that they're
20 actually going to object to, and we don't have to worry about
21 the ones that are on the transcript.

22 MR. BERNICK: No, that's not the point. The point is
23 that if you read the transcript the objections are going to
24 come up as the transcript is read. So, whoever's reading it,
25 it'll be marked and then they'll make an objection. And all

1 that I think is that in order to save the Court's time, we
2 ought to make sure, as I understand Your Honor's suggestion to
3 us, we want to make sure that those objections are real
4 objections that warrant taking up the Court's time as the
5 transcript is being read.

6 THE COURT: Well, I guess the question -- let me
7 phrase it this way. Let's assume that the deposition starts on
8 Page 1 and goes to Page 20. The first objection comes up on
9 Page 5, Line 5.

10 MR. BERNICK: Right.

11 THE COURT: And nobody really cares about that
12 objection anymore. Then I would assume that Page 5, Line 5 is
13 no longer part of the designation that anybody has had in the
14 transcript because it's an objection that nobody cares about,
15 so it shouldn't be part of the designated portion any longer.

16 MR. BERNICK: You mean the objection itself?

17 THE COURT: Right. Or -- right. The objection
18 itself. So, it should be stricken and then we don't have to
19 read it and it's not something that anybody has to worry about.
20 Has it been done that way?

21 MR. BERNICK: No.

22 THE COURT: Have the designations been done that way?

23 MS. HARDING: No, they have not, Your Honor.

24 MR. BERNICK: I suspect that the designations are
25 actually Q's and A's, and that there's then an objection noted

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1 by way of bracketing the question and answer or whatever it is,
2 and I think that then what will -- what ought to be read is the
3 question. If somebody has an objection to the question, it
4 gets stated in court, and then Your Honor rules and then the
5 answer comes in or it doesn't come in depending upon the
6 ruling.

7 But, I really do think that it makes sense for people
8 to go back tonight and -- I know I want to go back tonight and
9 make sure that the depositions are worth taking up, you know,
10 live court time to be pursued.

11 MR. FINCH: Well, then, what happens -- I'm not sure
12 I understand. It seems like there's two things --

13 THE COURT: I can't hear you, Mr. Finch.

14 MR. FINCH: I'm not sure I understand what's going
15 on. They have videotaped depositions and then we have
16 transcripts. And we have tried to be sparing both as to what
17 we objected to and as to what we counter-designated. And what
18 I think they're saying is that you basically start at Page 1 of
19 the transcript and you'd have somebody read the portions that
20 have been designed from Page 1 to Page, you know, whatever the
21 end is. You know, there -- whether it is their designation or
22 our counter-designation or whatever it is, and if an objection
23 comes up during that process that anybody cares about, you rule
24 on the objections right then and there.

25 What I'm not clear about is how the videotape relates

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1 to that exercise. And so, I guess I want some clarification
2 from counsel for the debtor as to how --

3 MR. BERNICK: (Indiscernible) because we thought that
4 it was going to be played in court. It would present some
5 element of duplication, and as a consequence, my own feeling is
6 that we ought to do is, we ought to go through the deposition
7 transcript, reading it in court as Mr. Finch indicates. And
8 then at the conclusion of reading the deposition, if there are
9 particular -- there's particular little clips that show the
10 witness, you know, either in his or her finest or his or her
11 most embarrassing moments, provided that it's come in, the
12 Court can get a short viewing of the video clip. Does that
13 make sense to the Court?

14 THE COURT: Well, are any of the portions that we're
15 going to be shown in the depositions -- the video depositions,
16 portions that are objected to? Because if the purpose is to
17 let me see the witnesses -- and frankly, I would like to see
18 the witnesses because it's a little easier when you're going
19 back six months later to put a face to the name and the
20 testimony, so I would like to see at least portions of them or
21 have maybe a clip of the video --

22 MR. BERNICK: During the reading.

23 THE COURT: -- just so there is -- yes. Just submit
24 it so that --

25 MR. BERNICK: We will try to do that.

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1 THE COURT: -- there is a recollection available
2 later.

3 MR. BERNICK: I think we'll try to do that. I'm not
4 sure how many objections there really are during --

5 MS. HARDING: Well, we had selected video portions
6 that did not -- that were not -- they were not objected to by
7 the other side.

8 MR. BERNICK: Okay. Well, then we'll -- as we get to
9 them and the transcript --

10 THE COURT: Just show --

11 MR. BERNICK: -- we will just show them instead of
12 reading the transcript and we'll reflect what portion of the
13 transcript is appearing by video. We'll play the video, and
14 then we'll move on. If you all have -- if during your portions
15 and you want to show by video, you aren't as fortunate and
16 there are numerous well-taken objections. Yes, I guess we'll
17 have to stop the video and get the ruling on the objections.
18 But, then the monkey's on our back to then object during the
19 course of the video being played and we'll just have to do that
20 if there are objections to your portion, that's all.

21 THE COURT: All right. It would seem if the
22 person -- if the purpose is to show the witness, then, a very
23 short snippet's going to do it, I think.

24 MR. BERNICK: Yes.

25 THE COURT: If the purpose is that you've got some

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1 particular portion of what the witness looked like, how he or
2 she reacted, that you'd want me to see, then I may need it in a
3 context of the objection. Typically speaking, I don't think I
4 need the witness there while the objection's going on. That's
5 usually a legal ruling, so I don't know why I need to see the
6 witness during the objection which typically shouldn't involve
7 the witness anyway.

8 So, if that -- if the portions that involve the
9 objections can be done by deposition, and the portions that
10 involve non-objected to testimony can be done by video, I think
11 we might get through it a little quicker. And if we can do
12 this at least for tomorrow and see how it goes, if it's really
13 too painful, then I may see if we can work something else out
14 in the future. But, I know the experience that I'm having with
15 Federal-Mogul is that I'm sorry that I did what I did because
16 it's just too voluminous a record and it's just too difficult
17 to get through.

18 MR. BERNICK: It's too easy, also, for the lawyers to
19 say, well, we just --

20 THE COURT: Yes, here it is.

21 MR. BERNICK: -- designate the whole thing, yes.

22 THE COURT: Yes. And that's another problem. So,
23 at -- frankly, you ought to do the work.

24 MR. BERNICK: Yes, right. So -- but, I think it
25 might be also wise if our technical people got coordinated

1 after court here so that we maximize the chance that people's
2 video clips can be queued up very easily and we can kind of go
3 through and do it.

4 MS. HARDING: Okay. We'll work it out, Your Honor.
5 I think -- I mean, Nate, any other questions? All right.

6 THE COURT: All right. So, is there anything you're
7 going to need back from me today still or no?

8 MS. HARDING: No, Your Honor. We'll just -- we'll
9 hand up the full binders with all the designations. You can
10 have those today and then --

11 MR. FINCH: Oh, actually, I would like to check those
12 before we --

13 THE COURT: Hand them up. Yes.

14 MR. FINCH: -- submit them.

15 MS. HARDING: Oh, absolutely.

16 THE COURT: Okay.

17 MS. HARDING: Okay.

18 THE COURT: Now, based on the fact that we have to
19 end tomorrow, do you want -- and you're all here -- do you want
20 to start at eight-thirty or are you going to have enough work
21 to do that you still want to start at nine? I know you're
22 coming from all over.

23 MR. BERNICK: Well, Barb, I don't know -- do you
24 think how long it's going to take to read through all this
25 stuff?

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1 MS. HARDING: I think based on the way that we've
2 wittled it down both sides, I think we'll be done by lunchtime,
3 Your Honor, no matter how we do it.

4 THE COURT: Oh, all right. So, do you want to start
5 at nine, then?

6 MR. BERNICK: So, we have to get designated readers
7 with charming voices and -- we don't have a jury, so we don't
8 need to worry about --

9 THE COURT: Yes, you may want to switch, too. People
10 can sometimes get tired, so -- okay. We'll be in recess till
11 nine o'clock, then. Thank you.

12 MS. HARDING: Thank you, Your Honor.

13 MR. BERNICK: Thank you, Your Honor.

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C E R T I F I C A T I O N

We, Patricia Repko, Lynn Schmitz, Denise O'Donnell and Kathleen Betz, court approved transcribers, certify that the foregoing is a correct transcript from the official electronic sound recording of the proceedings in the above-entitled matter, and to the best of our ability.

/s/ Patricia Repko

PATRICIA REPKO

/s/ Lynn Schmitz

LYNN SCHMITZ

/s/ Denise O'Donnell

DENISE O'DONNELL

/s/ Kathleen Betz

DATE: January 25, 2008

KATHLEEN BETZ

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